

## **Privacy Policy Statement**

**Issue Date: May 17, 2011**

The board of directors of DV01 Mechelle Ltd (the "Company") respects and is committed to protecting your privacy. We are bound by the National Privacy Principles which are set out in the Privacy Act 1988 (Cth) ("Privacy Act").

If you have further questions, you can contact the Company's Manager at the address or telephone number provided at the end of this Privacy Policy Statement.

### **What we do**

The Company's principal activity is the purchase of listed and unlisted securities and derivatives for investment purposes. The Company has no employees. It has no premises, plant or equipment or other physical assets. The sole purpose of the Company is as an investment company. The objective of the Company is to seek long term capital growth through utilising the skills of its associate DV01 Funds Management Pty Ltd (the "Manager"). The Company's day-to-day affairs are undertaken by the Manager in accordance with a Management Agreement (as amended, supplemented or replaced from time to time).

### **What we collect**

The Manager on behalf of the Company only collects personal information about individuals, shareholders, potential shareholders, service providers, advisors and suppliers. We collect this information where it is necessary for us to perform our functions and activities such as facilitate distribution payments and corporate communications to Shareholders or where we are required to do so by law. Any personal information you supply to us will be used only in accordance with this Privacy Policy Statement.

The main types of information the Manager collects on behalf of the Company are shareholders personal details, including name, address and contact details, bank account details or any other identification verification information we need to provide our products and services to you. We may also be required by law to collect certain information such as tax file numbers. If we collect it we will ask your consent if required by law to do so.

### **How we use personal information**

The Manager, acting on behalf of the Company, may use your information to provide information or financial services to you and to fulfil administrative and other functions associated with these services. If you fail to provide us with the required information or if you provide us with incomplete or inaccurate information, we may not be able to provide you with the information, products or services you are seeking.

As well as internally using your personal details, we may disclose it to other persons to enable us to provide services to you or where required by law. Such people include:

- third parties we appoint as advisers, agents or service providers such as investment managers, auditors, prime brokers, custodians, administrators or legal advisers or any of their affiliates;

- third parties you authorise to act on your behalf in relation to your investment such as your investment consultant, financial adviser, broker or solicitor or any of their affiliates; and
- The Manager is a reporting entity to the Australian Transaction Reports and Analysis Centre the Australian regulator enforcing laws about the prevention of money laundering and financing of terrorism, including the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 and associated regulations (AML/CTF laws), and accordingly will retain shareholder identification documents and transaction records as required under the AML/CTF laws.

The information may also be used from time to time and disclosed to persons inspecting the register, including bidders for your securities in the context of takeovers, regulatory bodies, the Australian Taxation Office, securities brokers, print service providers, and mail houses.

There may be other situations where the Company may use or disclose your personal information without consent. These include if we are investigating or reporting on suspected unlawful activity or the use or disclosure is required or authorised by law.

### **Our Website**

Generally you are not required to provide us with any personal information when visiting our website.

When you visit our website, or download information from it, our Internet Service Provider makes a record of your visit and records the following information: your internet address, your domain name if applicable, the date and time of your visit to the website, the pages you accessed and documents downloaded, the previous website you have visited and the type of browser you are using. This information is only used for statistical and website development purposes.

We make limited use of cookies on our website. A cookie is a small message given to your web browser by our web server. The browser stores the message in a text file, and the message is then sent back to the server each time the browser requests a page from the server. We only use cookies to improve the functionality of our website, not to store any of your personal information.

Most Internet browsers are set up to accept cookies. If you do not wish to receive cookies, you may be able to change the settings of your browser to refuse all cookies or to notify you each time a cookie is sent to your computer, giving you the choice whether to accept it or not.

### **Security, Access and Complaints**

We regard the security of your personal information as a priority and implement a number of physical and electronic measures to protect it. We remind you, however, that the Internet is not a secure environment and although all care is taken, we cannot guarantee the security of information you provide to us via our website, or other electronic means.

In most circumstances, you have a right to access any personal information which we collect and hold about you and to have it corrected if it is wrong. Please contact our Manager's Compliance Officer to ask for access to your information or if you have a complaint concerning your information privacy please contact the Manager's Managing Director.

### **Changes to this Privacy Policy Statement**

We reserve the right to review and amend this Privacy Policy Statement from time to time, so please occasionally check the Privacy Policy Statement for any changes.

**Contact us**

If you would like more information about our approach to privacy please contact:

DV01 Funds Management Pty Ltd

Ground Floor, 89 St Georges Terrace

Perth WA 6000

Telephone: 08 9483 5200